



Register of Relevant Duties and Interests

ANNEXURE C

RELEVANT DUTIES REGISTER

APRA Prudential Standard SPS 521 at paragraph 6 relevantly defines "relevant duty" to mean "any duty owed by the Trustee, or a responsible person of the Trustee, to beneficiaries or to any other person that the Trustee has determined to be relevant in accordance with paragraph 16." Paragraph 16 provides that a "relevant duty is one that might reasonably be considered to have the potential to have a significant impact on the capacity of the Trustee or the responsible person with the relevant duty to act in a manner that is consistent with the best interests of beneficiaries." This Register is required to disclose all "relevant duties" within the meaning of APRA Prudential Standard SPS 521.

Relevant Duties					
<i>A relevant duty refers to any duty owed by the licensee or a Responsible Person (RP) of the RSE licensee, to beneficiaries or to any other person.</i>					
Date entered in Register	Full name of Responsible Person	Role Title of Responsible Person	Entity	Details of relevant duties with other persons / entities	Ongoing / Concluding
4/9/13	John Grocke	Chairman and Non-executive Director	LCA Nominees Pty Ltd	Lutheran Laypeople's League Board and Executive Member.	Ongoing
10/9/13	Lester Kerber	Non-Executive Director	LCA Nominees Pty Ltd	Business Manager, Luther College Croydon.	Ongoing
3/11/14	Bernadette Latimer	Non-Executive Director	LCA Nominees Pty Ltd	Business Manager, St John's Lutheran Primary School, Bundaberg.	Ongoing
25/8/15	Sarah Dixon	Non-Executive Director	LCA Nominees Pty Ltd	Director, Teen Rescue Foundation Ltd; Secretary and Councillor, Redeemer Lutheran College	Ongoing Ongoing

				Council; Group Manager, Rowland Pty Ltd.	Ongoing
31/8/15	Anthony Klatt	Non-Executive Director	LCA Nominees Pty Ltd	Chair of College Board – Cornerstone College Mount Barker.	Ongoing
1/4/16	John Grocke	Chairman and Non-executive Director	LCA Nominees Pty Ltd	Principal - Johnston Grocke – Paragem Pty Ltd AFSL.	Ongoing
1/4/16	Anthony Klatt	Non-Executive Director	LCA Nominees Pty Ltd	Principal - Johnston Grocke – Paragem Pty Ltd AFSL.	Ongoing
12/9/17	Philip Riquier	Non-Executive Director	LCA Nominees Pty Ltd	Director of Accord Property Pty Ltd including AFSL holding entity, Accord Property Funds Management Pty Ltd; Board Member, Lutheran Homes Incorporated; Board Member, Conservation Council of South Australia; Director Blue Lake Superannuation Pty Ltd ATF Blue Lake Superannuation Fund; Director of Neige Pty Ltd ATF Neige Trust; Director of Blue Lake Apples Pty Ltd.	Ongoing Ongoing Ongoing Ongoing Ongoing
14/9/17	Alison Doecke	Non-Executive Director	LCA Nominees Pty Ltd	Admitted Barrister and Solicitor of the Supreme Court of South Australia and the High Court of Australia; Employed by the Crown in right of the State of South Australia in the Public Service pursuant to the Public	Ongoing Ongoing

				Sector Act 2009 (SA).	
1/2/18	Philip Riquier	Non-Executive Director	LCA Nominees Pty Ltd	Director of Credit Union SA.	Ongoing
9/2/18	Sarah Dixon	Non-Executive Director	LCA Nominees Pty Ltd	Consultant to Sunsuper.	Ongoing
15/5/18	Mark Hoopmann	Non-Executive Director	LCA Nominees Pty Ltd	Consultant / Public Officer, Concordia College Inc; Director / Public Officer, Concordia College Foundation Inc; Director, Fortiter Investments Pty Ltd.	

ANNEXURE D

RELEVANT INTERESTS REGISTER

APRA Prudential Standard SPS 521 at paragraph 6 relevantly defines "relevant interest" to mean "any interest, gift, emolument or benefit, whether pecuniary or non-pecuniary, directly or indirectly held by the Trustee, an associate of the Trustee or a responsible person of the Trustee that the Trustee has determined to be relevant in accordance with paragraph 16." Paragraph 16 provides that a "relevant interest is one that might reasonably be considered to have the potential to have a significant impact on the capacity of the Trustee, the associate or the responsible person holding the relevant interest, to act in a manner that is consistent with the best interests of beneficiaries." This Register is required to disclose all "relevant interests" within the meaning of APRA Prudential Standard SPS 521.

Relevant Interests										
<i>A relevant interest refers to any interest, gift, emolument or benefit directly or indirectly held by the Trustee, an Associate of the Trustee or a Responsible Person</i>										
Date Entered in Register	Full name of Responsible Person	Role Title of Responsible Person	Entity	Name of Recipient (Individual/Company with Relevant Interests)	Recipient's Title	Source of Interest	Description of interest, gift, emolument or benefit (includes non-monetary/non-financial interests, gifts or benefits)	Estimated value (\$)	Date Received	Ongoing / Concluding
3/11/14	John Evans	Auditor	LCA Nominees Pty Ltd	KPMG	Employer of Auditor	KPMG	Auditor's firm receives payments for the provision of auditing services to the Fund	\$70,000 pa	As invoiced when services provided	Ongoing
31/8/15	Anthony Klatt	Director	LCA Nominees Pty Ltd	Anthony Klatt	Director	Self acquired	Johnston Grocke Unit Trust	21.5%	31/8/15	Ongoing
7/9/15	John Grocke	Director	LCA Nominees Pty Ltd	John Grocke	Director	Self acquired	Johnston Grocke Unit Trust	34.0%	7/9/15	Ongoing
20/12/16	Darren Royals	CEO	LCA Nominees Pty Ltd	Darren Royals	CEO	Self acquired	Lutheran Super	\$200,000	20/12/16	Ongoing
20/12/16	Bernadette Latimer	Director	LCA Nominees Pty Ltd	Darren Royals	Director	Self acquired	Lutheran Super	\$100,000	20/12/16	Ongoing
20/12/16	Lester Kerber	Director	LCA Nominees Pty Ltd	Lester Kerber	Director	Self acquired	Lutheran Super	\$380,000	20/12/16	Ongoing
5/2/18	Paul Gilbert	Actuary	LCA Nominees Pty Ltd	Mercer (Consulting) Australia Pty Ltd	Employer of Actuary	Mercer (Consulting) Australia Pty Ltd	Actuary's firm receives payments for the provision of actuarial services to the Fund	\$30,000 pa	As invoiced when services provided	Ongoing

